

# ON INJECTIONS AND SURJECTIONS OF CONTINUOUS FUNCTION SPACES

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ABSTRACT

It is shown that a linear isometry of  $C(S)$  into  $C(T)$  is generated by a linear extension from  $S$  to a quotient space of  $T$ . A dual theorem is proved for a quotient map of  $C(S)$  onto  $C(T)$ . An application of the first theorem and a modification of a theorem by Banach and Mazur provide a negative answer to a problem posed by Pelczynski.

## 1. Introduction

If  $S$  is a compact Hausdorff space,  $C(S)$  denotes the Banach space of all continuous real-valued functions on  $S$  with the supremum norm.  $1_S$  denotes the constant function 1 on  $S$ . A linear  $u: C(S) \rightarrow C(T)$  ( $S, T$  compact Hausdorff) is called *regular* if  $\|u\| = 1$  and  $u1_S = 1_T$ —this is equivalent to  $u1_S = 1_T$  and  $u \geq 0$ . If  $\pi: T \rightarrow S$  is continuous, then  $\pi^0$  defined by  $(\pi^0 f)(t) = f(\pi t)$  is a regular linear (even multiplicative) operator from  $C(S)$  to  $C(T)$ . If  $\pi$  maps  $T$  onto  $S$ , then  $\pi^0$  is a regular isometric embedding of  $C(S)$  into  $C(T)$ . If  $\pi$  is a homeomorphic embedding of  $T$  into  $S$ , then the restriction map  $\pi^0$  maps  $C(S)$  onto  $C(T)$  and  $\|g\| = \min\{\|f\|; f \in C(S), \pi^0 f = g\}$  for every  $g \in C(T)$ .

The well-known Banach-Stone theorem ([6], p. 442) states that the most general linear isometry of  $C(S)$  onto  $C(T)$  is of the form  $(\phi f)(t) = \alpha(t)(h^0 f)(t)$ , where  $\alpha \in C(T)$  satisfies  $|\alpha(t)| = 1$  for all  $t \in T$  and  $h$  is a homeomorphism of  $T$  onto  $S$ . If  $\phi$  is regular, then obviously  $\alpha(t) = 1$  and  $\phi = h^0$ . Holsztynski ([9] cf. also Pelczynski [11] and Tomašek [15]) obtained: If  $\phi$  is a linear isometry from  $C(S)$  to  $C(T)$ , then  $S$  is a quotient  $qZ$  of a subspace  $Z$  of  $T$ , and there is  $\gamma \in C(Z)$  with  $|\gamma| = 1$  and such that  $(\phi f)(z) = \gamma(z)(q^0 f)(z)$  for all  $z \in Z, f \in C(S)$ . Unlike

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the Banach-Stone condition, Holsztynski's condition is not sufficient:  $T = \beta N$ ,  $S = Z = \beta N \setminus N$ ,  $q =$  the identity and  $\gamma = 1$  satisfy the conditions, but  $C(\beta N \setminus N)$  is not even isomorphic to a subspace of  $C(\beta N)$  (Proposition 5).

Besides the isometric embeddings  $\pi^0: C(S) \rightarrow C(T)$  which arise when  $S$  is a quotient space  $\pi T$  of  $T$ , there are also isometric embeddings  $u: C(S) \rightarrow C(T)$  which arise when  $S$  is homeomorphic to a subspace  $S$  of  $T$ —these are the *norm-preserving linear extensions*, i.e. those  $u$  satisfying  $i^0 u =$  identity of  $C(S)$ . Norm-preserving linear extensions always exist if  $S$  is metrizable [10] but may fail to exist in the general case (e.g. the case mentioned above:  $T = \beta N$ ,  $S = \beta N \setminus N$ ). An improvement of Holsztynski's theorem, Theorem 1, shows that  $C(S)$  is isometric to a subspace of  $C(T)$  if, and only if, there is a norm-preserving linear extension  $v: C(S) \rightarrow C(Y)$ , where  $Y = \pi T$  is a quotient space of  $T$ , and that every regular isometric embedding of  $C(S)$  into  $C(T)$  is of the form  $\pi^0 v$ . The improvement is by showing the existence of a norm-preserving linear extension from the subspace  $q^0 C(S)$  of  $C(Z)$  into  $C(T)$ .

A corollary of Theorem 1 and a natural modification of a classical result of Banach and Mazur enable us to answer in the negative a problem posed by Pelczynski [12].

In Sec. 3 we treat the dual problem — characterize all linear mappings  $\tau$  of  $C(S)$  onto  $C(T)$  which, like the restrictions  $\pi^0$  in the case when  $\pi: T \rightarrow S$  is a homeomorphism, satisfy  $\|g\| = \min\{\|f\|; f \in C(S), \tau f = g\}$ . If  $\pi: T \rightarrow S$  is an onto map, the same condition is satisfied by *norm-1 projections* of  $C(S)$  onto  $C(T)$ , i.e.  $v: C(S) \rightarrow C(T)$  satisfy  $v\pi^0 =$  the identity on  $C(T)$ . The general case is obtained by a combination of both.

## 2. Linear isometries from $C(S)$ to $C(T)$

Theorem 1 is an improvement of Holsztynski's theorem. The first part of the proof is essentially Holsztynski's proof and we repeat it for completeness sake.

**THEOREM 1.** *If  $\phi: C(S) \rightarrow C(T)$  is a linear isometry, then there are a continuous mapping  $\pi$  from  $T$  onto some  $Y$ , a homeomorphic embedding  $j: S \rightarrow Y$ , a norm-preserving linear extension  $v$  from  $C(S)$  to  $C(Y)$ , and  $\gamma \in \pi^0 C(Y)$  with  $|\gamma(\pi^{-1}jS)| = 1$  such that  $\gamma\phi = \pi^0 v$ . If  $\phi$  is regular one can take  $v$  regular and  $\gamma = 1_T$ .*

**PROOF.** Define, for  $s \in S: P(s) = \{f \in C(S); \|f\| = 1, f(t) = 1 \text{ in a neighborhood of } s\}$ ,  $\sigma(s) = \bigcap \{M(\phi f); f \in P(s)\}$  where  $M(g) = \{t; |g(t)| = \|g\|\}$ .

We show first that  $\sigma \neq \emptyset$ : If  $f_1, \dots, f_n \in P(s)$ , then  $1 = 1/n \sum_{i=1}^n \|f_i\| \geq \|1/n \sum_{i=1}^n f_i\| \geq |1/n \sum_{i=1}^n f_i(s)| = 1$ , hence  $\|1/n \sum_{i=1}^n \phi f_i\| = 1$  and for some  $t_0 \in T: |1/n \sum_{i=1}^n \phi f_i(t_0)| = 1$ . Since  $|\phi f_i(t_0)| \leq \|\phi f_i\| = \|f_i\| = 1$ , this implies that  $|\phi f_i(t_0)| = 1$  for  $i = 1, \dots, n$ , i.e.  $t_0 \in \bigcap_{i=1}^n M(\phi f_i)$ . Thus, the family  $\{M(\phi f_i); f \in P(s)\}$  has the finite intersection property, and by compactness — a non empty intersection.

If  $s_1 \neq s_2$ , take disjoint closed neighborhoods  $V_1, V_2$ , and  $f \in C(S)$  satisfying  $f(V_1) = 1 \geq f \geq 0 = f(V_2)$ . Then  $f \in P(s_1), 1 - f \in P(s_2)$ . For  $t \in \sigma s_1$  we have  $|\phi f(t)| = |\phi 1(t)| = 1$ , while for  $t \in \sigma s_2$  we must have  $|\phi 1(t) - \phi f(t)| = 1$ . Since these requirements are incompatible (in the real case),  $\sigma s_1 \cap \sigma s_2 = \emptyset$ .

We show now that  $\sigma K$  is closed for every closed  $K \subset S$ : Let  $\{t_\alpha\}$  be a net in  $\sigma K$ , with  $t_\alpha \rightarrow t$ . If  $t_\alpha \in \sigma s_\alpha, s_\alpha \in K$  we can take, by compactness of  $K$ , a convergent subnet  $s_\beta \rightarrow s \in K$ . If  $t \notin \sigma K$ , then  $t \notin \sigma s$  and there is a neighborhood  $V$  of  $\sigma s = \bigcap \{M(\phi f); f \in P(s)\}$  (which is closed by definition) with  $t \notin \bar{V}$ . By compactness,  $V$  contains a finite intersection  $\bigcap_{i=1}^n M(\phi f_i)$ , where  $f_i \in P(s)$ . By the definition of  $P(s)$ , there are open neighborhoods  $W_i$  of  $s$  with  $f_i(t) = 1$  for  $t \in W_i$ . Let  $W = \bigcap_{i=1}^n W_i$ .  $W$  is an open neighborhood of  $s$ , hence there is  $\beta_0$  such that for all  $\beta > \beta_0: s_\beta \in W$ , so that  $W$  is a neighborhood of  $s_\beta$  and  $f_i \in P(s_\beta)$  for each  $i$ . Since  $t_\beta \in \sigma s_\beta, t_\beta \in M(\phi f_i)$  for each  $i$  and therefore  $t_\beta \in V$  for all  $\beta > \beta_0$ . Thus  $t = \lim t_\beta \in \bar{V}$ , which contradicts the choice of  $V$ .

In particular,  $Z = \sigma S$  is a closed subset of  $T$  and the mapping  $\sigma^{-1}$  from  $Z$  onto  $S$  is continuous, hence  $S$  is homeomorphic to the quotient space  $Z/D$ , where  $D$  is the decomposition of  $Z$  into the sets  $\sigma s$ .

Given any  $f \in C(S)$  with  $\|f\| = 1 = f(s), f$  can be uniformly approximated by elements of  $P(s)$ , so that for such  $f$  and any  $t \in \sigma s$  we have  $|\phi f(t)| = \lim_{P(s) \ni h \rightarrow f} |\phi h(t)| = 1$ , i.e.  $t \in M(\phi f)$ .  $|\phi 1_s| = 1$  on  $Z$  (since  $1_s \in P(s)$  for all  $s \in S$ ), hence replacing  $\phi$  by  $\psi = \gamma \phi$  where  $\gamma \in C(T)$  satisfies  $|\gamma| \leq 1, \gamma(t) = \phi 1(t)$  on  $Z$ , we get a linear  $\psi: C(S) \rightarrow C(T)$  which is still an isometry ( $|(\psi f)(t)| = |\gamma(t)| |\phi f(t)| \leq \|\phi f\| \leq \|f\|$ , while if  $\|f\| = 1$  then  $|f(s)| = 1$  for some  $s \in S$  and for  $t \in \sigma s$  we have  $|\psi f(t)| = |\gamma(t)| |\phi f(t)| = |\phi f(t)| = 1$ ),  $\psi 1_s = 1$  on  $Z$ , and for every  $f \in C(S)$  with  $0 \leq f \leq 1 = f(s)$  we have  $(\psi f)(t) = 1$  for  $t \in \sigma s$  (if  $(\psi f)(t) = -1$ , then  $\psi(1 - f)(t) = 2$  which is impossible). By homogeneity, if  $f \in C(S)$  satisfies  $0 \leq f \leq f(s)$ , then  $(\psi f)(\sigma s) = f(s)$ . If  $f \in C(S)$  and  $f \geq 0 = f(s)$ , then  $\|f\| - (\psi f)(\sigma s) = \psi(\|f\| - f)(\sigma s) = (\|f\| - f)(s) = \|f\|$ , hence  $(\psi f)(\sigma s) = 0 = f(s)$ .

If  $0 \leq f \in C(S)$  and  $s$  is any point in  $S$ , let  $g = \min(f, f(s))$ , then by the above  $(\psi g)(\sigma s) = g(s) = f(s)$ , while  $\psi(f - g)(\sigma s) = 0 = (f - g)(s)$ . So that  $(\psi f)(\sigma s) = (\psi g)(\sigma s) + \psi(f - g)(\sigma s) = f(s)$ . If  $f \in C(S)$ ,  $s \in S$  are any, let  $g = \max(f, 0)$ . Then  $f(s) = g(s) - (g - f)(s) = \psi g(\sigma s) - \psi(g - f)(\sigma s) = \psi f(\sigma s)$ . Therefore we have  $i^0 \psi = (\sigma^{-1})^0$  (where  $i: Z \rightarrow T$  is the embedding).

Let  $\hat{D}$  be the trivial extension of  $D$  to a decomposition of  $T$ , i.e.  $\hat{D}$  consists of the sets  $\sigma s$  ( $s \in S$ ) and the singletons  $\{t\}$  ( $t \in T \setminus Z$ ). Since  $D$  is closed in  $\sigma S \times \sigma S$ , it is closed in  $T \times T$ , and so is  $\hat{D}$ . Let  $Y = T \setminus \hat{D}$ ,  $\pi$  — the quotient map.  $Y$  is compact, and  $j(s) = \pi\{\sigma s\}$  defines a natural homeomorphic embedding of  $S$  into  $Y$ .

Since for every  $f \in C(S)$ ,  $\psi f$  is constant on the decomposition sets,  $(vf)(y) = (\psi f)(\pi^{-1}y)$  is a well defined linear map from  $C(S)$  into  $C(Y)$  with norm  $\leq 1$ .  $(vf)(js) = (\psi f)(\pi^1 js) = (\psi f)(\sigma s) = f(s)$ , i.e.  $v$  is a norm-preserving linear extension for  $j$ .

In conclusion we have  $\gamma(t)\phi(t) = (\psi f)(t) = (\psi f)(\pi^{-1}\pi t) = (vf)(\pi t) = (\pi^0 vf)(t)$ , i.e.  $\gamma\phi = \pi^0 v$ .

If  $\phi 1 = 1$ , we can take  $\gamma = 1_T$ , i.e.  $\psi = \phi$ , and get  $(v1_S)(y) = 1_T(\pi^{-1}y) = 1$ .  
 Q.E.D.

**COROLLARY 2.** *If  $S$  is Stonian (i.e., an extremally disconnected compact) and  $C(S)$  is isometric to a subspace of  $C(T)$ , then  $S$  is homeomorphic to a subspace  $Z$  of  $T$  admitting a linear extension of norm 1. If the isometry is regular, so is the extension.*

**PROOF.** Let  $v, j, \pi, Y$  be as in Theorem 1. Since  $S$  is projective [8], there is a homeomorphic embedding  $h: S \rightarrow T$  with  $j = \pi^0 h$ .  $\pi^0 v$  is a linear extension for  $h$  ( $h^0(\pi^0 v) = j^0 v = \text{id}_{C(S)}$ ). Finally, if  $\phi 1_S = 1_T$ ,  $\pi^0 v 1_S = 1_T$ .  
 Q.E.D.

Pelczynski asked ([12] problem 17): Suppose  $i: S \rightarrow T$  is a homeomorphic embedding, with  $T$  0-dimensional, which admits a regular linear extension  $u$ . Is it a retract of  $T$ ? The answer is in the negative — let  $S$  be the Yošida-Hewitt space [16], i.e. the maximal ideal space of  $L^\infty [0, 1]$  in its Gelfand topology, and  $T = \beta N$ . Then  $C(S) = L^\infty [0, 1] = L^1 [0, 1]^*$  is regularly isometric to a subspace of  $m = C(T)$ , as will be seen soon. By the former corollary there is a homeomorphism  $i: S \rightarrow \beta N$  which admits a regular linear extension. On the other hand,  $iS$  cannot be a retract of  $\beta N$ , since  $S$  is not separable.

To establish the regular embedding of  $C(S)$  in  $C(T)$ , we apply a simple modification of the classical result of Banach and Mazur [2].

**THEOREM 3.** *If  $X$  is a separable (AL)-space ([5], p. 100), then there is a*

linear bounded positive operator  $T$  from  $l^1$  onto  $X$  such that  $X$  is naturally isometric to  $l^1/T^{-1}0$ .

PROOF. Let  $(x_n)$  be a dense sequence in the positive cone  $P = \{x \in X; x \geq 0\}$ ,  $y_n = x_n / \|x_n\|$  (one may assume  $x_n \neq 0$ ). For  $\alpha \in l^1$ , define  $T_\alpha = T(\sum \alpha_n e_n) = \sum \alpha_n y_n$  — this last series converges absolutely, and  $\|T_\alpha\| = \|\sum \alpha_n y_n\| \leq \sum |\alpha_n| = \|\alpha\|$ . Given  $x \in P$ ,  $\varepsilon > 0$ , choose  $x_{n_0} \in G(x_n)$  with  $\|x - x_{n_0}\| < \varepsilon$ ,  $x_{n_1} \in (x_{n_0})$  with  $\|x_{n_1}\| < \varepsilon$  and  $\|x - x_{n_0} - x_{n_1}\| < \varepsilon/2$ ,  $x_{n_2} \in (x_{n_1})$  with  $\|x - x_{n_0} - x_{n_1} - x_{n_2}\| < \varepsilon/4$  etc. Then  $x = T\alpha$  where  $\alpha = \sum_{i=0}^\infty \|x_{n_i}\| e_{n_i}$  satisfies  $\|\alpha\| < \|x\| + 3\varepsilon$ .

Given any  $x \in X$ ,  $\varepsilon > 0$  there are, by the above,  $\alpha_+, \alpha_- \geq 0$  in  $l^1$  such that  $T\alpha_+ = x_+, T\alpha_- = x_-, \|\alpha_+\| < \|x_+\| + \varepsilon, \|\alpha_-\| < \|x_-\| + \varepsilon$ . Then  $\alpha = \alpha_+ - \alpha_-$  satisfies  $T_\alpha = x, \|\alpha\| \geq \|T\alpha\| > \|\alpha\| - 2\varepsilon$ .

Q.E.D.

COROLLARY 4. If  $X$  is a separable (AL)-space, then there is a linear positive isometry from  $X^*$  into  $m$  which carries 1 to 1.

PROOF. Let  $T: l^1 \rightarrow X$  be as above.  $T^*: X^* \rightarrow m$  is a linear isometry. Since  $1 \in X^*$  is defined by  $1(x) = \|x_+\| - \|x_-\|$ ,  $(T^*1)(\alpha) = 1(T\alpha) = \|(T\alpha)_+\| - \|(T\alpha)_-\| = \|T\alpha_+\| - \|T\alpha_-\| = \|\sum_{\alpha_i > 0} \alpha_i y_i\| - \|\sum_{\alpha_i < 0} \alpha_i y_i\| = \sum_{\alpha_i > 0} \alpha_i + \sum_{\alpha_i < 0} \alpha_i = \sum \alpha_i = 1(\alpha)$ .

Q.E.D.

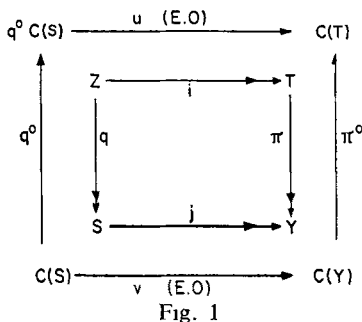
REMARKS. 1) The necessity of the existence of an extension operator is demonstrated by:

PROPOSITION 5. If  $S \subset \beta N$  and  $C(S)$  is isomorphic to a subspace of  $C(\beta N)$ , then  $S$  is Stonian. In particular,  $C(\beta N \setminus N)$  is not isomorphic to a subspace of  $C(\beta N)$ .

PROOF. Following Rosenthal [13],  $S$  is said to satisfy the countable chain condition (CCC) if every disjoint family of non empty open sets in  $S$  is at most countable. By [13, p. 229],  $S$  satisfies the CCC if and only if  $C(S)$  contains no isomorph of  $c_0(\Gamma)$  for uncountable  $\Gamma$ . Since  $\beta N$  satisfies the CCC, if  $C(S)$  is isomorphic to a subspace of  $C(\beta N)$  then  $S$  too satisfies the CCC. If  $S \subset \beta N$  then  $S$  is an  $F$ -space. By [14] p. 19, every  $F$ -space satisfying the CCC is Stonian.

Q.E.D.

2) If  $C(S) \subset C(T)$ , Theorem 1 establishes the existence of the following diagram:



where  $u, v$  are norm-1 linear extensions. The diagram would have looked prettier if we could replace  $q^0C(S)$  by  $C(Z)$ . The following simple example shows that a linear extension  $u: C(Z) \rightarrow C(T)$  may fail to exist if  $Z$  is the Holsztynski space constructed in the proof of theorem 1:  $T = \beta N, S = \{s\}, (\phi c)(n) = c(1 - (1/n)), (\phi c)(p) = c$  for  $p \in \beta N \setminus N$ . One easily checks that  $Z$  in this case is  $\beta N \setminus N$ , which admits no linear extension to  $\beta N$ .

**PROPOSITION 6.** *Suppose  $C(S)$  is isometric to a subspace of  $C(T)$ . Then in each of the following cases there are a subspace  $Z$  of  $T$  admitting a norm-1 linear extension and a quotient map of  $Z$  onto  $S$ :*

- a)  $T$  is metrizable. b)  $S$  is Stonian. c)  $T$  is Stonian.

**PROOF.** a) Is immediate from Theorem 1 and the fact that in a metric compact  $T$  every closed subspace admits a norm-1 linear extension [10].

b) Is immediate from Corollary 2.

c) Follows from b) and the following result of H. B. Cohen [4]: For every Banach space  $B$  there are a Stonian space  $S_B$  and a linear isometry  $u_B: B \rightarrow C(S_B)$  such that, given a linear isometry  $v: B \rightarrow X$  ( $X$  an arbitrary Banach space), any linear  $w: C(S_B) \rightarrow X$  satisfying  $wu_B = v$  is an isometry. If  $B = C(S)$  and  $S$  is Stonian, then this implies that  $S_B$  must be homeomorphic to  $S$ . If  $B = C(S)$ ,  $S$  any, then  $S_B$  turns out to be the Gleason space of  $S$  [8].

In our case, let  $B = C(S)$  and  $v: B \rightarrow C(T)$  the given isometry. Then  $vu_B^{-1}$  is a linear isometry from  $u_B C(S)$  to  $C(T)$ . Since  $T$  is Stonian,  $C(T)$  has the extension property ([5], p. 94) and  $vu_B^{-1}$  can be extended to a norm-1  $w: C(S_B) \rightarrow C(T)$ . By the characterizing property of  $S_B$ ,  $w$  is an isometry. By b) there are a subspace  $Z$  of  $T$  admitting a norm-1 linear extension and a quotient map of  $Z$  onto  $S_B$ . Combined with the Gleason quotient map of  $S_B$  onto  $S$  [8], we get a quotient map of  $Z$  onto  $S$ . Q.E.D.

Important generalizations of the class of metrizable compacts are the class of *dyadic spaces* — the continuous images of generalized Cantor sets  $2^m$  (2 stands for the two-point space,  $m$  for an infinite cardinal) and the class of *Dugundji spaces* [12] — those compact spaces  $S$  which admit a regular norm-1 extension in every embedding. The following proposition relates two open problems concerning these classes and the problem of extending Proposition 6.

PROPOSITION 7. *At least one of the following is true:*

- a) *There is a nondyadic Dugundji space (cf. [12], problem 14).*
- b) *There are no dyadic  $T$  and nondyadic compact  $S$  with  $C(S) \subset C(T)$ .*
- c) *There exists a (nondyadic) compact  $S$  with  $C(S) \subset C(2^m)$  such that  $S$  is not a quotient space of any subspace of  $2^m$  admitting a norm-1 extension.*

PROOF. Suppose  $C(S) \subset C(T)$ ,  $T = \pi(2^m)$ ,  $S$  nondyadic. Then  $C(S) \subset C(2^m)$ , so we can assume  $T = 2^m$ . If  $S = qZ$ , then  $Z$  is nondyadic. It remains to show that if  $Z$  is a subspace of  $2^m$  admitting a norm-1 linear extension  $u: C(Z) \rightarrow C(2^m)$  then  $Z$  is Dugundji. This will follow from [12, p. 35] if we show that there is a regular extension for some embedding of  $Z$  in  $2^m$ . If  $m \leq \aleph_0$  then  $Z$  is metrizable so that this follows from Michael's theorem. If  $m > \aleph_0$ , then  $W = \{t \in 2^m; u1_z(t) = 1\}$ , as a closed  $G_\delta$ -subset of  $2^m$ , is homeomorphic with  $2^m$  [7].

3) Another generalization of the Banach-Stone theorem was given in [1] and [3]: If there is an isomorphism  $\phi$  of  $C(S)$  onto  $C(T)$  and  $\|\phi\| \|\phi^{-1}\| < 2$ , then  $S$  is homeomorphic to  $T$ . It is not known whether one can replace 2 by 3. Using the technique of [1], one can show that if there is an isomorphism  $\phi$  of  $C(S)$  into  $C(T)$  with  $\|\phi\| \|\phi^{-1}\| < 2$ , then  $S$  is a quotient space of a subspace of  $T$ . Questions which arise naturally are: a) If  $\phi: C(S) \rightarrow C(T)$  is an isomorphism into, do there exist a quotient  $Y$  of  $T$  and a linear extension from  $C(S)$  to  $C(Y)$ ? Suppose  $\|\phi\| \|\phi^{-1}\| < 3$ , or even  $\|\phi\| \|\phi^{-1}\| < 2$ ? b) If  $\phi: C(S) \rightarrow C(T)$  is an isomorphism into with  $\|\phi\| \|\phi^{-1}\| < 3$  (or even:  $\|\phi\| \|\phi^{-1}\| < 2$ ), is  $C(S)$  isometric to a subspace of  $C(T)$ ?

### 3. Quotient maps of $C(S)$ onto $C(T)$ .

THEOREM 8. *If  $\tau: C(S) \rightarrow C(T)$  is an exact quotient map (i.e.  $\|g\| = \min\{\|f\|; f \in C(S), \tau f = g\}$  for all  $g \in C(T)$ ), then there are a subspace  $Z$  of  $S$ , a quotient map  $q$  of  $Z$  onto  $T$ , a norm-1 projection  $w$  of  $C(Z)$  onto  $C(T)$  and  $e \in C(S)$  such that  $\tau(e f) = w i^0 f$  for every  $f \in C(S)$ , where  $i$  is the embedding of  $Z$  in  $S$ . If  $\tau$  is regular, one can take  $e = 1$ ,  $w$  regular.*

PROOF. Choose  $e \in C(S)$  such that  $\|e\| = 1$  and  $\tau e = 1$ . Denote  $S' = \{s \in S; |e(s)| = 1\}$ . If  $f \in C(S)$  vanishes in a neighborhood of  $S'$  then we have  $\|1 + \lambda \tau f\| \leq \|e + \lambda f\| = 1$  for small  $|\lambda|$ , which cannot hold in all directions unless  $\tau f = 0$ . Suppose now  $f$  vanishes on  $S'$ , then, given any  $\varepsilon > 0$ ,  $f$  agrees with a function  $f'$  of norm  $< \varepsilon$  in a neighborhood of  $S'$ , and by the above  $\|\tau f\| = \|\tau f' + \tau(f - f')\| = \|\tau f'\| < \varepsilon$ , and  $\tau f = 0$  for every  $f \in C(S)$  vanishing on  $S'$ , i.e.  $\tau = \tau' i'^0$ , where  $i'$  is the embedding of  $S'$  in  $S$ , and  $\tau'$  is an exact quotient map of  $C(S')$  onto  $C(T)$ .  $\psi(h) = \tau'(e'h)$ , where  $e' = i'^0 e$ , is a regular exact quotient map of  $C(S')$  onto  $C(T)$  — in particular  $\psi$  is positive. Define, for  $t \in T$ :

$$\rho(t) = \bigcap_{\substack{\psi h \in P(t) \\ \|h\|=1}} \{s \in S'; h(s) = 1\},$$

where  $P(t)$  is defined as in the proof of Theorem 1. We show first that  $\rho t \neq \emptyset$ : If  $\psi h_i \in P(t)$  and  $\|h_i\| = 1$  ( $i = 1, \dots, n$ ) then, since  $1/n \sum_{i=1}^n \psi h_i(t) = 1$ , there is some  $s \in S'$  with  $n^{-1} \sum_{i=1}^n h_i(s) = 1$ , i.e.  $h_i(s) = 1$  for  $i = 1, \dots, n$ . This shows that the intersected family has the finite intersection property and, by compactness, has a non void intersection.

If  $t_1 \neq t_2$ , take  $g \in P(t_1)$  with  $-g \in P(t_2)$ . Suppose  $s \in \rho t_1 \cap \rho t_2$  and take  $h \in C(S')$  with  $\|h\| = 1, g = \psi h$ . Then  $h(s) = 1$  since  $\psi h \in P(t_1)$  and  $s \in \rho t_1$ , and  $h(s) = -1$  since  $\psi(-h) \in P(t_2)$  and  $s \in \rho t_2$ . This is impossible, so that  $\rho t_1 \cap \rho t_2 = \emptyset$  for  $t_1 \neq t_2$ .

If  $K$  is a closed subset of  $T$ , then  $\rho K$  is closed in  $S'$ : Suppose  $\rho t_\alpha \ni s_\alpha \rightarrow s, t_\alpha \in K$ . We may assume  $t_\alpha \rightarrow t \in K$ . Let  $h \in C(S'), \|h\| = 1, \psi h \in P(t)$ . Then  $\psi h \in P(t_\alpha)$  hence  $h(s_\alpha) = 1$  for  $\alpha > \alpha_0$  and  $h(s) = 1$ , i.e.  $s \in \rho t$ . Thus  $q = \rho^{-1}$  is continuous, and  $T$  is the quotient space of the compact  $Z = \rho T$ .

We show now that  $\psi h$  is determined by the values of  $h$  on  $Z$ . If  $s \in S \setminus Z$  and  $t \in T$ , there is some  $h_t \in C(S')$  with  $\psi h_t \in P(t), \|h_t\| = 1$  and  $h_t(s) < 1$ . Replacing  $h_t$  by  $(h_t)_+$  we may assume also that  $h_t \geq 0$ . If  $V_t$  is a neighborhood of  $t$  on which  $\psi h_t = 1$ , take a finite cover for  $T: V_{t_1}, \dots, V_{t_n}$ , and let  $h = \max\{h_{t_i}; i = 1, \dots, n\}$ . Then  $\|h\| = 1$  while  $\psi h \geq \max \psi h_{t_i} = 1$ , i.e.  $\psi h = 1$  while  $h(s) < 1$ .

For a compact  $K$  in  $S' \setminus Z$  we take, for each  $s \in K$ , such  $h_s \in C(S')$  with  $h_s \geq 0, \|h_s\| = 1, \psi h_s = 1$  and  $h_s(s) < 1 - \varepsilon_s$  ( $\varepsilon_s > 0$ ). Let  $W_s = \{r \in S'; h_s(r) < 1 - \varepsilon_s\}$ . Choose a finite cover for  $K: W_{s_1}, \dots, W_{s_n}$ , and let  $h_K = \max\{h_{s_i}; i = 1, \dots, n\}$ . Then  $h_K \in C(S'), \|h_K\| = 1, h_K \geq 0, \psi h_K = 1$  while  $h_K(K) < 1 - \varepsilon_K$  where  $0 < \varepsilon_K = \min\{\varepsilon_{s_i}; i = 1, \dots, n\}$ . Define now  $H_K = \varepsilon_K^{-1} \min(1 - h_K, \varepsilon_K)$ . Then  $H_K \in C(S'), 0 \leq H_K \leq 1, H_K(K) = 1$  while  $\psi H_K = 0$ .



Given  $h \in C(S')$ ,  $0 \leq h \leq 1$  and  $h(Z) = 0$ , take  $K = \{s \in S'; h(s) \geq 1/2\}$  and let  $h' = \max(h - 2^{-1}H_K, 0)$ . Then  $\psi h' \geq \psi(h - 2^{-1}H_K) = \psi h$ , while  $0 \leq h' \leq 1/2$ . We have succeeded to halve the norm of  $h$  without affecting  $\psi h$ . A successive application of this procedure shows that  $\psi h = 0$ . This shows that  $\psi = w i''^0$ , where  $i''$  is the embedding of  $Z$  in  $S'$ . Thus, for  $f \in C(S)$ :  $\tau(ef) = \tau'(i'^0(ef)) = \tau'((i'^0e)(i^0f)) = \tau'(e' \cdot i^0f) = \psi(i^0f) = w(i''^0 i^0f) = w((i' i'')^0f) = w(i^0f)$ , where  $i = i' i''$  is the embedding of  $Z$  in  $S$ .

It remains to prove that  $w$  is a projection, i.e.  $wq^0g = g$  for all  $g \in C(T)$ . For a compact  $K$  in  $T$  and a neighborhood  $U$  of  $K$ , let  $g_{KV} \in C(T)$  satisfy  $0 \leq g_{KV} \leq 1$ ,  $g_{KV} = 1$  on a neighborhood of  $K$ ,  $g_{KV} = 0$  on a neighborhood of  $T \setminus U$ . Let  $h_{KV} \in C(Z)$  satisfy  $\|h_{KV}\| = 1$ ,  $h_{KV} \geq 0$ ,  $wh_{KV} = g_{KV}$ . Then  $h_{KV} = 1$  on  $\rho K$  and  $h_{KV} = 0$  on  $\rho(T \setminus U)$ . Define, for  $g \in C(T)$ ,  $\|g\| = 1$  and every  $n$ :

$$A_i^n = \{t; g(t) \geq \frac{i}{n}\}, B_i^n = \{t; g(t) > \frac{i-1}{n}\} \quad (i = 1, \dots, n),$$

$$h_i^n = h_{A_i^n B_i^n}, h_n = \frac{1}{n} \sum_{i=1}^n h_i^n.$$

If

$$\frac{j}{n} \geq g(t) \geq \frac{j-1}{n},$$

then

$$|(wh_n - g)(t)| = \left| \frac{1}{n} \sum_{i=1}^n g_{A_i^n B_i^n}(t) - g(t) \right| = \left| \frac{j-1}{n} + \frac{1}{n} g_{A_j^n B_j^n}(t) - g(t) \right| \leq \frac{1}{n}$$

and

$$|(h_n - q^0g)(\rho t)| = \left| \frac{1}{n} \sum_{i=1}^n h_i^n(\rho t) - g(t) \right| = \left| \frac{j-1}{n} + \frac{1}{n} h_j^n(\rho t) - g(t) \right| \leq \frac{1}{n}.$$

Therefore  $h_n \rightarrow q^0g$  uniformly on  $Z$  while  $wh_n \rightarrow g$  uniformly on  $S$ , which shows that  $g = wq^0g$ . Q.E.D.

**COROLLARY 9.** *If  $\tau: C(S) \rightarrow C(T)$  is an exact quotient map and  $T$  is Stonian, then  $T$  is homeomorphic to a subspace of  $S$ .*

**PROOF.** Immediate from Theorem 8 and the projectivity of Stonian spaces.

**REMARK.** A quotient map  $\tau$  of  $C(S)$  onto  $C(T)$  need not be exact. Take any infinite compact  $S$ , let  $T = \{t\}$ ,  $\tau f(t) = \phi(f)$  where  $\phi \in C(S)^*$  does not attain its supremum on the unit ball. One may ask: Suppose  $C(T)$  is a quotient space of  $C(S)$ , is it also an exact quotient space?

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